

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:) Art Unit: 3627
Dillon) Examiner: Unknown
) 16698.11.18

Serial No: 10/578,037

Filed: May 2, 2006

For: AUTHENTICATION AND
TRACKING SYSTEM

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COMMUNICATION

This communication is being transmitted to clarify an inadvertent typographical error on the undersigned's Supplemental Information Disclosure Statement filed 12/21/2006. Enclosed is a corrected Form 1449, wherein the application number and filing date have been revised to reflect the instant case. No additional copies of the cited references are enclosed herewith.

Respectfully submitted,

Dated: January 4, 2007

/John S. Parzych/

John S. Parzych
Reg. No. 52,097
FREDRIKSON & BYRON, P.A.
(Customer No. 022859)
200 South Sixth Street, Suite 4000
Minneapolis, MN 55402-1425
(612) 492-7279

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10578037
Filing Date	2006-05-02
First Named Inventor	David G. Dillon
Art Unit	3627
Examiner Name	Unknown
Attorney Docket Number	16698.11.18

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

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	1	WO/0195249	GB		2001-12-13	OP-TAGLIO LIMITED		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵

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1	World Health Organization. Substandard and counterfeit medicines. [retrieved on 2006-12-15] retrieved from the internet http://www.who.int/mediacentre/factsheets/fs275/en/print.html	<input type="checkbox"/>
2	HOPKINS, DM, KONTEK, LT, and TURNAGE, MT. Counterfeiting Exposed; Protecting Your Brand and Customers, Chapter 12, "Anticounterfeiting Technology Solutions," pp 249-262	<input type="checkbox"/>
3	JOHNSTON, RG. Tamper detection for safeguards and treaty monitoring: fantasies, realities, and potentials. Nonproliferation Review 2001 Spring; 8 (1); 102-115	<input type="checkbox"/>
4	JOHNSTON, RG and GARCIA, ARE. An annotated taxonomy of tag and seal vulnerabilities. Journal of Nuclear Materials Management 2000 Spring; 229 (3); 23-30	<input type="checkbox"/>
5	JOHNSTON, RG. Tamper-indicating seals for nuclear disarmament and hazardous waste management. Science and Global Security 2001; 9 (2); 93-112	<input type="checkbox"/>
6	JOHNSTON, RG, GARCIA, ARE, and PACHECO, AN. Efficacy of tamper-indicating devices. Journal of Homeland Security 2002, April 16	<input type="checkbox"/>
7	JOHNSTON, RG and GARCIA, ARE. Analyzing vulnerability results for tag and tamper-indicating seals. Proceedings of the U.S. Army Conference on Applied Statistics; 2001 October 24-26; Santa Fe, NM. pp. 60-88	<input type="checkbox"/>
8	KARSTEN, R. Tags tag counterfeits: as counterfeiting methods become increasingly sophisticated, more advanced technologies are needed to fight back. Manufacturing Chemist 2003 January 1; 73 (1) [retrieved 2006-11-30] retrieved from the internet http://www.manufacturing-chemist.info/story.asp?storyCode=15146	<input type="checkbox"/>
9	KONTEK, L. Counterfeits: the cost of combat. Pharmaceutical Executive 2003 November 1 [retrieved 2006-12-15] retrieved from the internet http://www.pharmexec.com/pharmexec/content/printContentPopup.jsp?id=75674	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John S. Parzych/	Date (YYYY-MM-DD)	2007-01-04
Name/Print	John S. Parzych	Registration Number	52097

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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